Healing relationships and clinical guidelines for complex care: Systems dynamics in person centred health care

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A/Prof J Gillespie and Dr S Lukersmith Menzies Centre for Health Policy, University of Sydney Prof Carlos Garcia-Alonso & Dr JA Salinas Loyola University Andalucia (Spain)







DISCERNEMENT AND COMPLEXITY IN HEALTH CARE

Ontology Complexity DOCAHS Menzies Centre for Health Policy ASOCIACION CIENTIFICA badin Person-centered care Bridging Aging and Disability International Network ICPCM Implementation IFIC The International College of Person-Centered Medicine

Medicine of the person, for the person, by the person, and with the person.

IFIC BADIN



DISCERNEMENT AND COMPLEXITY IN HEALTH CARE



DISCERNEMENT AND COMPLEX HEALTH CARE

Ontology Philosophy of Science Classification Taxonomy

What scientific knowledge do we need to inform policy in the era of complexity?

Complexity

Definition Typology Components

Person-centered care

Definition Components Integrated care

Implementation

Practice guidelines Integrated care Bridging Gibert et al. Health Research Policy and Systems 2010, 8:28 http://www.health-policy-systems.com/content/8/1/28



REVIEW

Open Access

Integrating clinicians, knowledge and data: expert-based cooperative analysis in healthcare decision support

2014

Journal of Evaluation in Clinical Practice

Journal of Evaluation in Clinical Practice ISSN 1365-2753

Framing of scientific knowledge as a new category of health care research

Fernandez et al. Health Research Policy and Systems (2015) 13:66 DOI 10.1186/s12961-015-0057-0



2015

REVIEW

Evidence-based medicine: is it a bridge too (I) GrossMark far?

Epidemiology and Psychiatric Sciences (2017), 26, 105-114. © Cambridge University Press 2017 doi:10.1017/S2045796016000767 EDITORIAL

Open Access



From the EBM pyramid to the Greek temple: a new conceptual approach to Guidelines as implementation tools in mental health

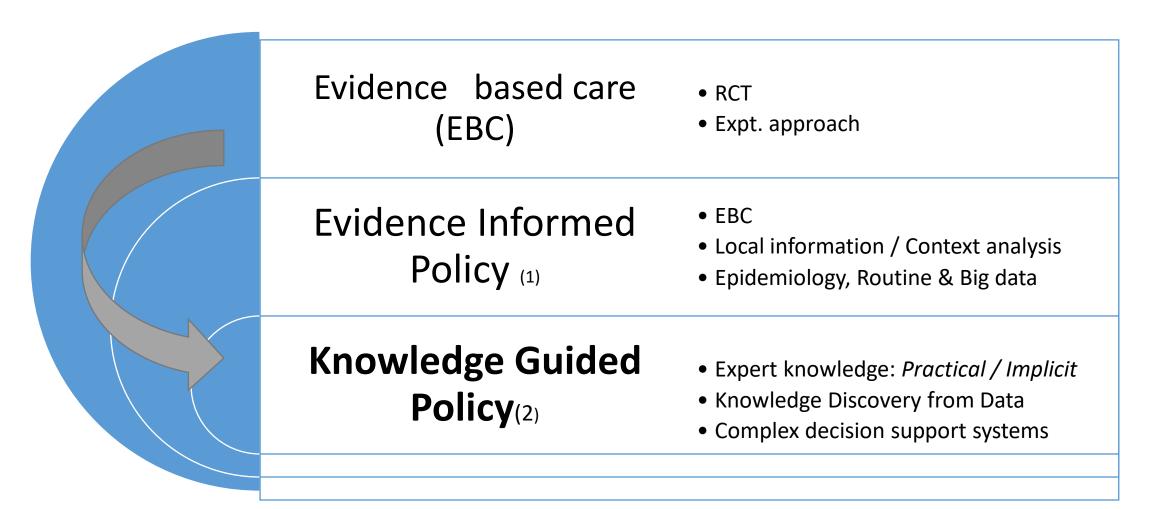
Evidence-informed medicine should also incorporate expert knowledge. Complexity analysis requires the collaboration of data analysts & clinicians (experts) in an iterative way (EbCA)

FSK is a group of studies mainly based in PEK aimed at generating formal specific frames to understand and to represent complex phenomena & to guide decision making under conditions of uncertainty

EBM did not considered philosophy of science to guide its assumptions such as equating "corroboration" of scientific knowledge (metanalysis of evidence) to "implementation" without taking into account context or complexity

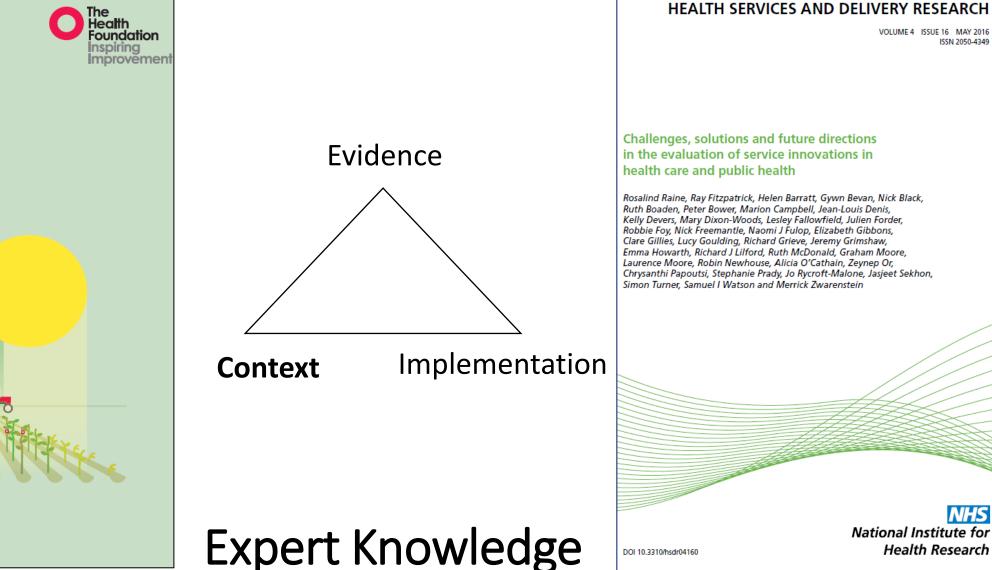
To take into account complexity a new approach to scientific knowledge is needed in addition to EBM that incorporates expert knowledge, experiential knowledge and context, as well as other types of logical inferences

Paradigm shift to Systems thinking



1. Lavis et al, Health Research Policy and Systems 2009 (SUPPORT MODEL) 2. Gibert et al, Health Research Policy and Systems 2010 (EbCA MODEL)

Context Analysis



Perspectives on context A selection of essays considering the role of context in successful quality improvement

Original research March 2014 Gibert et al. Health Research Policy and Systems 2010, 8:28 http://www.health-policy-systems.com/content/8/1/28



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From the EBM pyramid to the Greek temple: a new conceptual approach to Guidelines as implementation tools in mental health

L. Salvador-Carulla^{1*}, S. Lukersmith¹ and W. Sullivan²

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Framing of Scientific Knowledge (FSK)

Studies of FSK are explicit, specific, standardized, innovative, based on the available evidence and agreed upon by a group of experts (ideally the scientific community on the specific area) following a method that can be reproduced by other groups

Scientific declarations and frameworks

Scientific position papers

Expert-based clinical recommendations (as opposed to clearly defined evidence base guidelines) Scientific conceptual maps

Classifications

Framing health atlases (as opposed to ecological atlases) Framing Col and Bol as opposed to Col and Bol studies using ecological and population-based approaches)

LOGICAL INFERENCES AND DISCERNEMENT

INFERENCE Process of deriving logical conclusions from premises known or assumed to be true.

INDUCTIVEInferences from specific instances to general conclusion or explanation.DEDUCTIVEInferences from general instances to a specific conclusion. Deduction is

- necessary inference as the certainty of the explanation can be derived from the certainty of the premises.
- ABDUCTIVE Inference to the best explanation. It needs a prior knowledge base to select the best or the most plausible explanation.
- MEANS-END Relates fundamental norms to the means to achieve a predetermined INFERENCE end. This requires experts to decide which is the best or most optimal mean from a set of alternatives to achieve the final goal.

Observational and ecological Experimental studies

Clinical diagnosis, knowledge discovery from data Artificial intelligence and implementation Gibert et al. Health Research Policy and Systems 2010, 8:28 http://www.health-policy-systems.com/content/8/1/28



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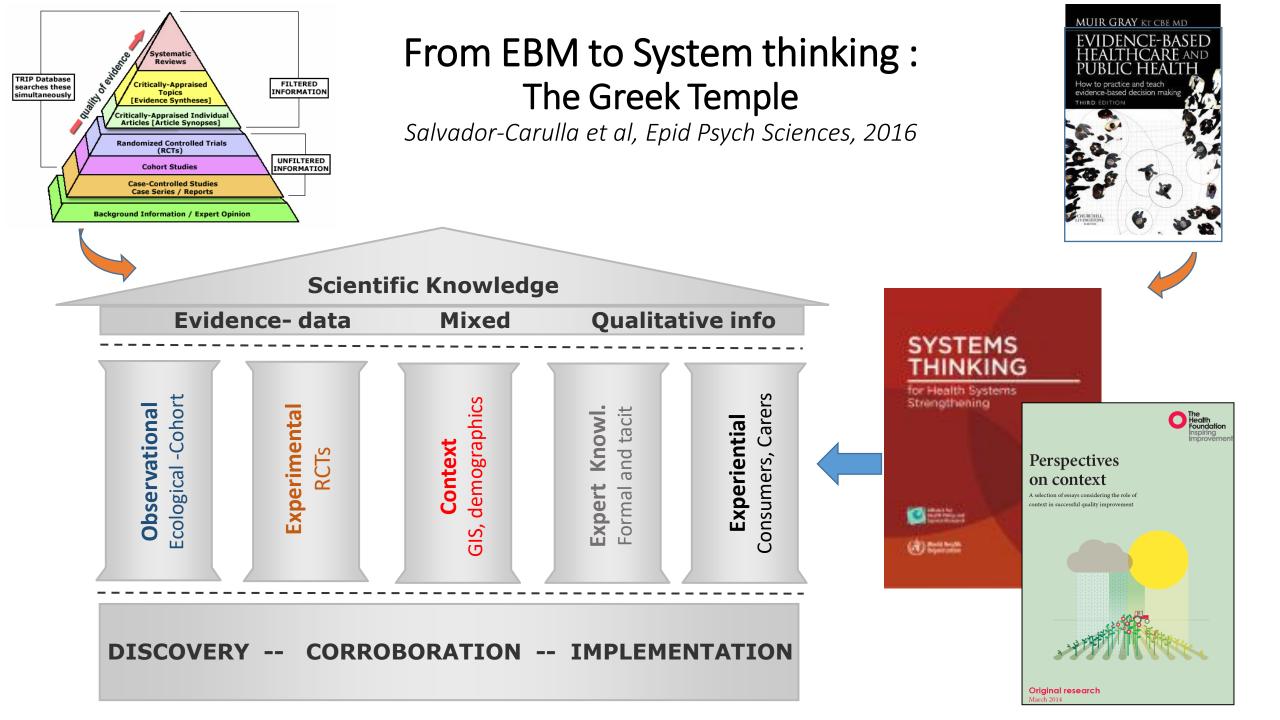
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Clinical Review

Primary care of adults with developmental disabilities Canadian consensus guidelines

William F. Sullivan MD CCFP PhD Joseph M. Berg MBBCh MSc FRCPsych FCCMG Elspeth Tom Cheetham MD CCFP Richard Denton MD CCFP FCFP FRRMS John Heng MA Bria David Joyce MD CCFP Maureen Kelly RN MPA Marika Korossy Yona Lunsky PhD



- 'Recommend': always apply. The primary care provider should regard the guideline as a basic standard of care in Canada.
- (2) 'Consider': indicated to primary care providers that it should be applied at the physician's discretion. The professional would need to take into account factors specific to each patient and context before deciding to implement the guideline.
- (3) 'Aspire': authors endorsed the action as an ideal/ future standard of care that should be applied if possible but acknowledged that advocacy and changes to contexts and systems of care might be necessary for clinicians to have the capacity and resources to apply such a guideline routinely.

DISCERNEMENT AND COMPLEXITY IN HEALTH CARE

Ontology Philosophy of Science Classification Taxonomy What is the consensus in terms and typologies to increase knowledge and organisational learning in health care?

Complexity Definition Typology Components

Person-centered care

Definition Components Integrated care Implementation

Bridging Integrated care Practice Guidelines Salvador-Carulla et al. International Journal of Mental Health Systems 2010, 4:29 http://www.ijmhs.com/content/4/1/29



2010

2013

2013

2016

RESEARCH

Open Access

A preliminary taxonomy and a standard knowledge base for mental-health system indicators in Spain

Salvador-Carulla et al. BMC Health Services Research 2013, 13:218 http://www.biomedcentral.com/1472-6963/13/218

 BMC
 Health Services Research

RESEARCH ARTICLE

Open Access

Evaluation of an integrated system for classification, assessment and comparison of services for long-term care in Europe: the eDESDE-LTC study



Int. J. Environ. Res. Public Health 2013, 10, 1963-1976;

Basic Concepts in the Taxonomy of Health-Related Behaviors, Habits and Lifestyle

Luis Salvador-Carulla ^{1,2,*}, Federico Alonso ², Rafael Gomez ², Carolyn O. Walsh ³, José Almenara ⁴, Mencía Ruiz ⁵, María José Abellán ⁴ and eVITAL group ⁶



Article

The brain injury case management taxonomy (BICM-T); Lukersmith, Sue; Fernandez, Ana; Millington, Michael; Disability and Health Journal, 04/2016, Volume 9, Issue 2 Classification of mental Health Indicators for monitoring health systems

Classification of health services to improve the description of the service delivery systems provided by SHA 2.0 (WHO/OECD, 2012)

Typology and definition of health related habits and life styles for improving the description of personal factors in the WHO International Classification of Functioning (ICF)

Typology and definition of a group of complex clinical interventions not previously defined: Case management to contribute to the International Classification of Health Interventions (ICHI)

Main Problems when Assessing Services

MH Atlas Solutions



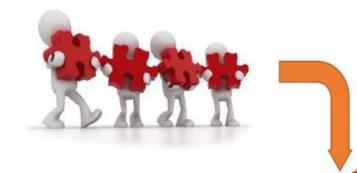
- Commensurability: Diff. units of analysis, lack of



Diff. units of analysis, lack of comparison like with like . We cannot merge:

- Service Providers
- Clinical teams
- Interventions
- Activities

1st We identify the minimal unit of production or care (Basic Stable inputs of Care-BSIC) or TEAMS

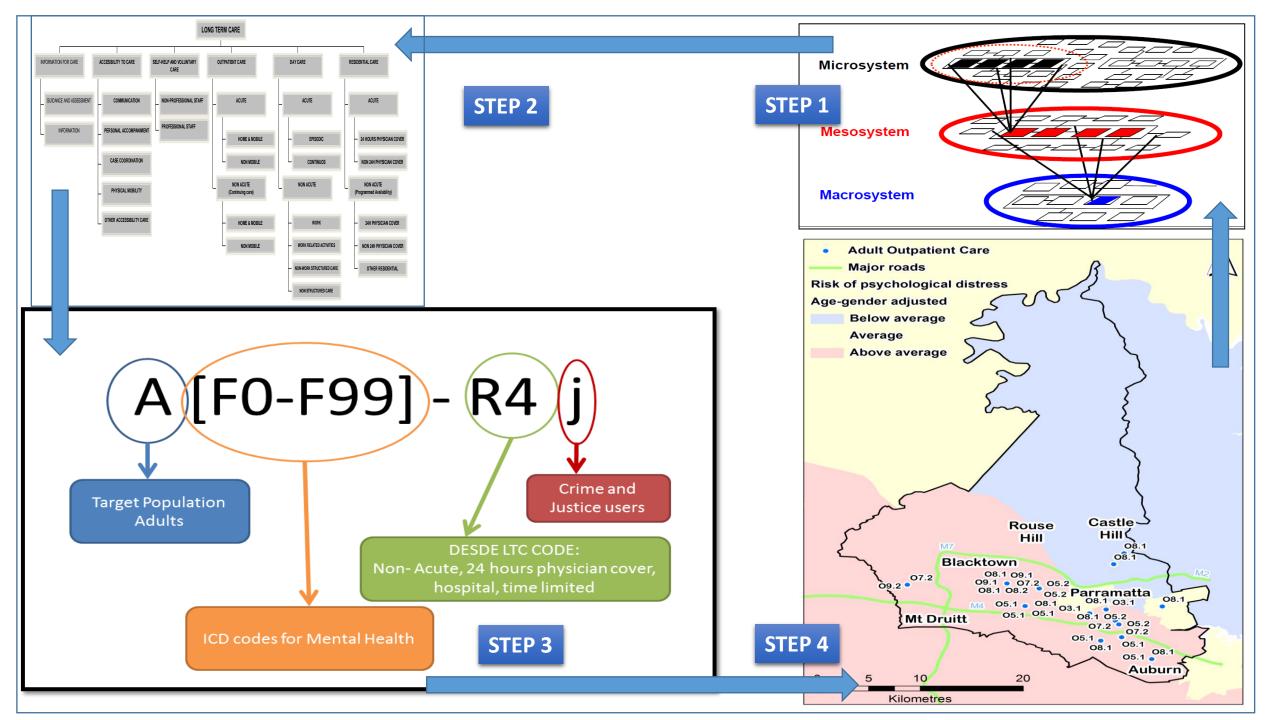


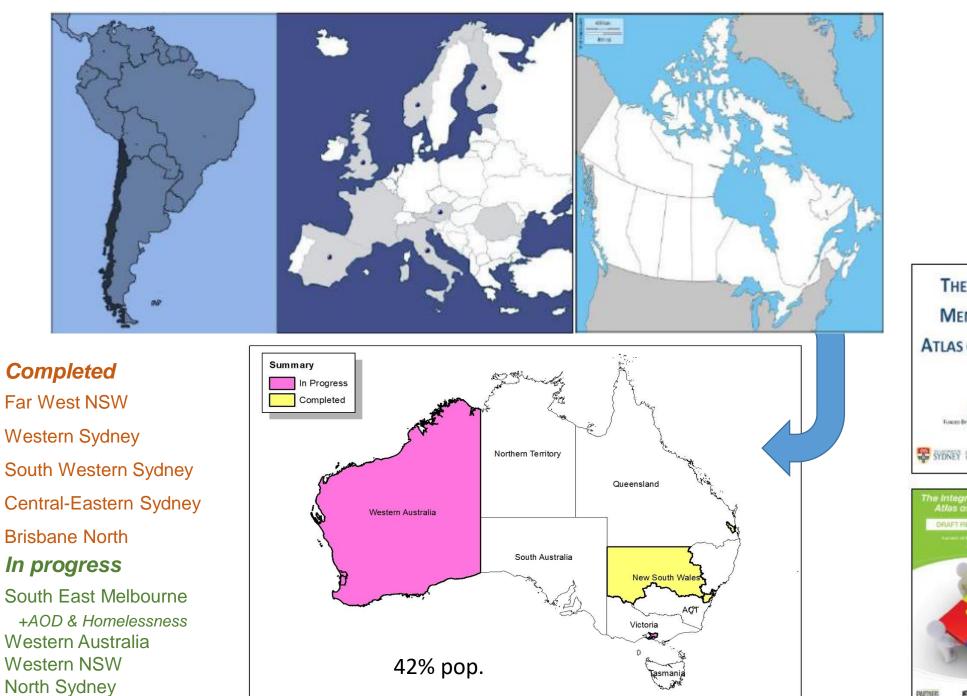
Transferability and terminological variability:



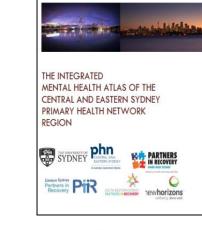
- Names of the services do not always reflect their main activity (Hospital – Outreach services- Crisis houses-medical homes)
- Names of same services vary across jurisdictions (Day care)

2nd We label them according to their Main Type of Care (**MTC**) they provide





Canberra ACT



THE INTEGRATED MENTAL HEALTH **ATLAS OF WESTERN** SYDNEY Western Systeey Partners In Recov SYDNEY HERE'S WINNES e Integrated Mental Health Atlas of Brisbane North





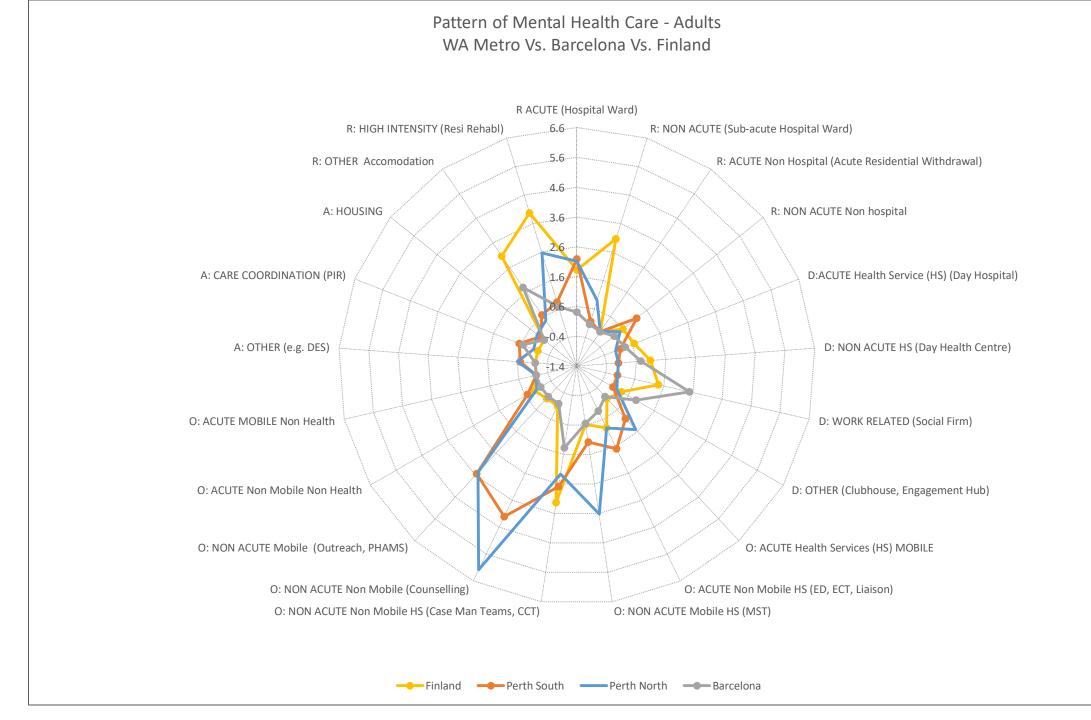
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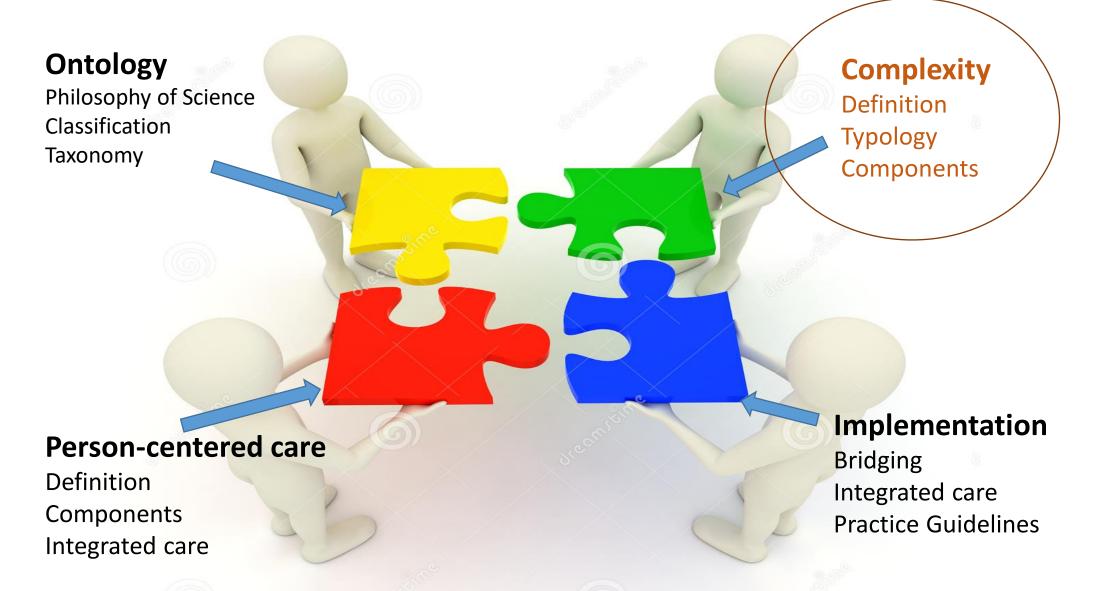
THE INTEGRATED MENTAL HEALTH ATLAS OF THE FAR WEST SYDNEY

2

Mental Health Commissi of New South Wales



DISCERNEMENT AND COMPLEX HEALTH CARE



System thinking perspective for health care planning

- **HEALTH SYSTEMS are** dynamic social organizations of people, institutions and resources that deliver health care to meet the health needs of target populations mainly by providing health interventions.
- SYSTEM THINKING: provides a means of analysing organisations as a integrated, complex composition of many interconnected agents (human and non-human) that need to work together for the whole to function successfully
- **DYNAMIC SYSTEMS** can be described in terms of their context, goals, components, and connections and interactions. They are characterised by:
- HIGH Variability
- HIGH Uncertainty and ambiguity
- DIFFERENT Levels of Organisation: Simple / Complicated / Complex

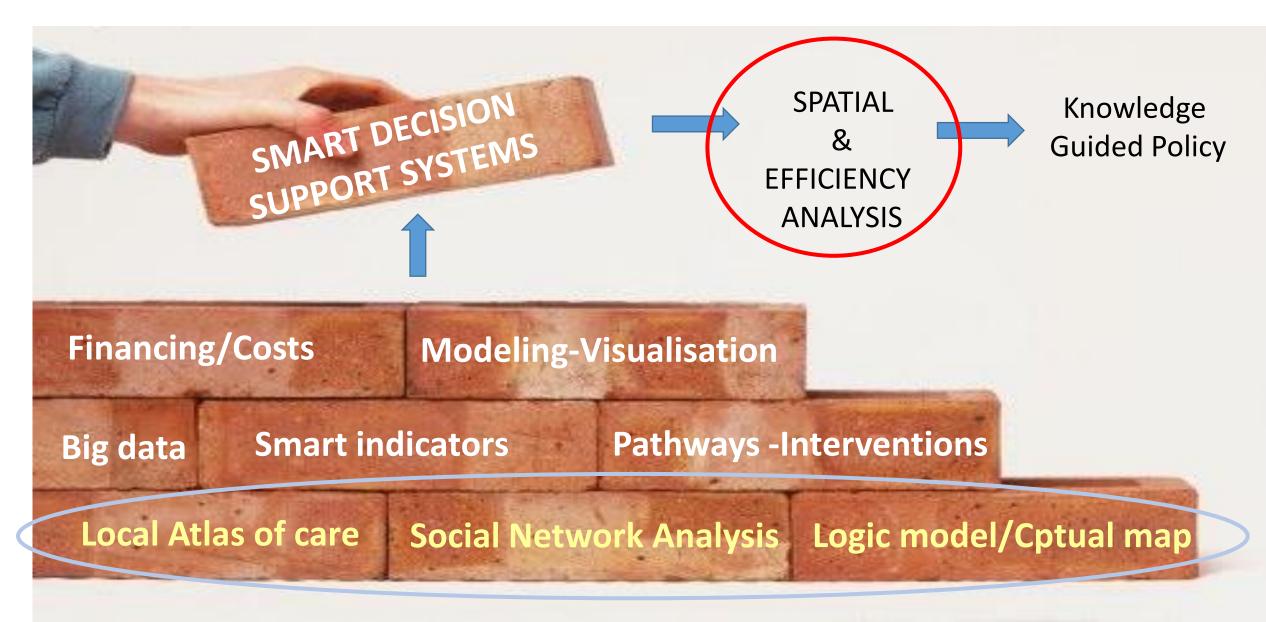




Components of Complex health systems

Hierarchy & Context: Agents LOCAL ATLAS OF CARE Systems, subsystems, Consumers nested systems Pofessionals, Boundaries: mapping **Clinical Teams**, **Availability** Context & Local history **Jurisdictions** Organisations Capacity **Context analysis** Use GIS DSS Interventions Logic models **Packages** Conceptual maps **Social Networks Financial Flows Drivers Connections CONCEPTUAL MAPS** Values, goals, Networks targets **SOCIAL NETWORK ANALYSIS** interactions

System thinking in MH Planning







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policy in Catalonia (Spain)

ATLAS OF MH CARE



Spatial Economic Analysis

Publication details, including instructions for authors and subscription information: http://www.tandfonline.com/loi/rsea20

Applying an Evolutionary Algorithm for the Analysis of Mental Disorders in Macro-urban Areas: The Case of Barcelona

José Alberto Salinas-Pérez, Maria Luisa Rodero-Cosano, Carlos Ramon García-Alonso & Luis Salvador-Carulla Published online: 11 Aug 2015.



Use of an integrated Atlas of Mental Health Care for evidence informed

ELSEVIER

European Journal of Operational Research 242 (2015) 525–535

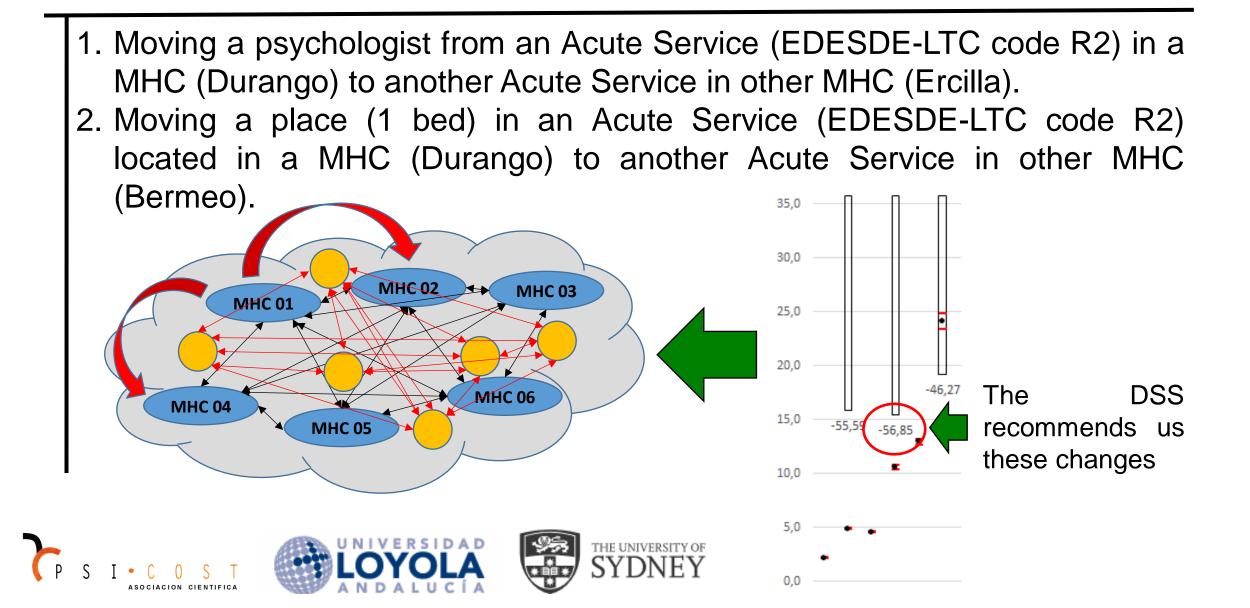


Decision Support

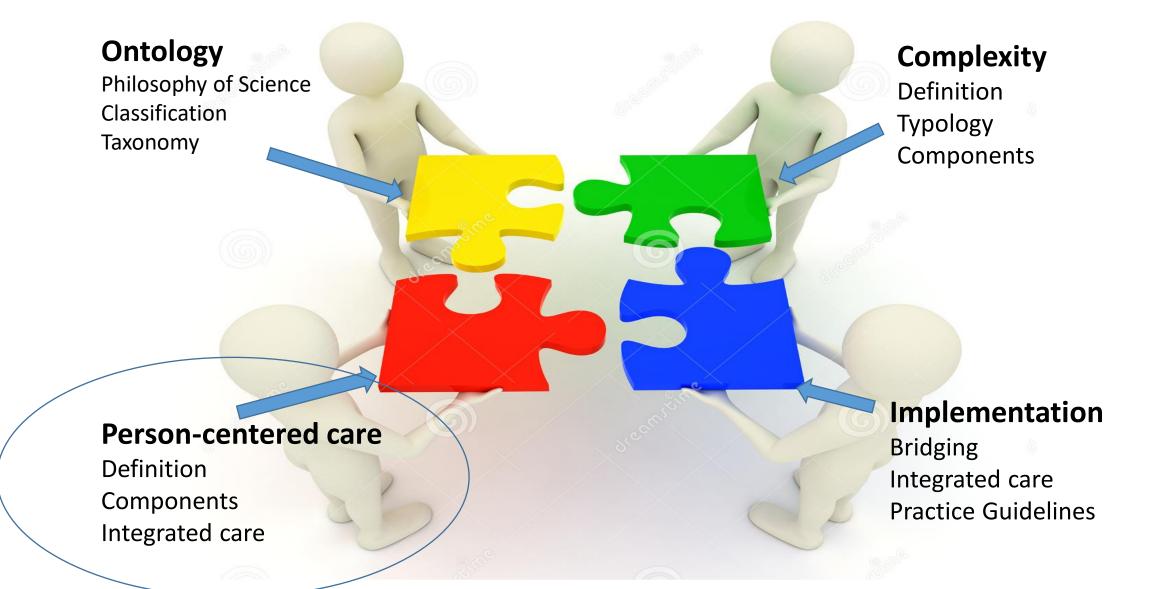
Evaluation of system efficiency using the Monte Carlo DEA: The case of small health areas



Mercedes Torres-Jiménez ^{a,*}, Carlos R. García-Alonso^b, Luis Salvador-Carulla^c, Vicente Fernández-Rodríguez^d



DISCERNEMENT AND COMPLEX HEALTH CARE





Geneva Conferences & Declarations on PCM

- 2008 Conceptual Explorations
- 2009 From Concepts to Practice
- 2010 Collaboration across Specialties, Disciplines & Programs
- 2011 Articulating Person-centered Clinical Medicine & Peoplecentered Public Health
- 2012 Chronic Diseases: Person- & People-centered Perspect.
- 2013 Person-centered Health Research
- 2014 Person and People-centered Care for all
- 2015 Person-Centered Primary Health Care
- <u>2016 Person Centered Integrated Care through the Life Course</u>



Adopted by the participants of the 7th Geneva Conference on Person Centered Medicine on April 30, 2014 and released by the ICPCM Board on May 27, 2014.

Preamble

We, participants in the 7th Geneva Conference on Person-centered Medicine, call on everyone to join together to promote person-centered and people-centered health care in order to improve health for all in ways that are equitable, sustainable, and cost-effective. Equity and integration in person-centered health care are enucial foundations for targeting opportunities for effective action.

The International College of Person-Centered Medicine (ICPCM) adheres to the new perspectives on universal health care endorsed by the World Health Assembly since 2009 and reads with interest the 2013 Lancet Commission Report on "Global Health 2035: World converging within a generation", both of which outline objectives, research, and strategies for developing Person- and People-centered Integrated Care (PPCIC) for all people.

Global Health Equity is Imperative

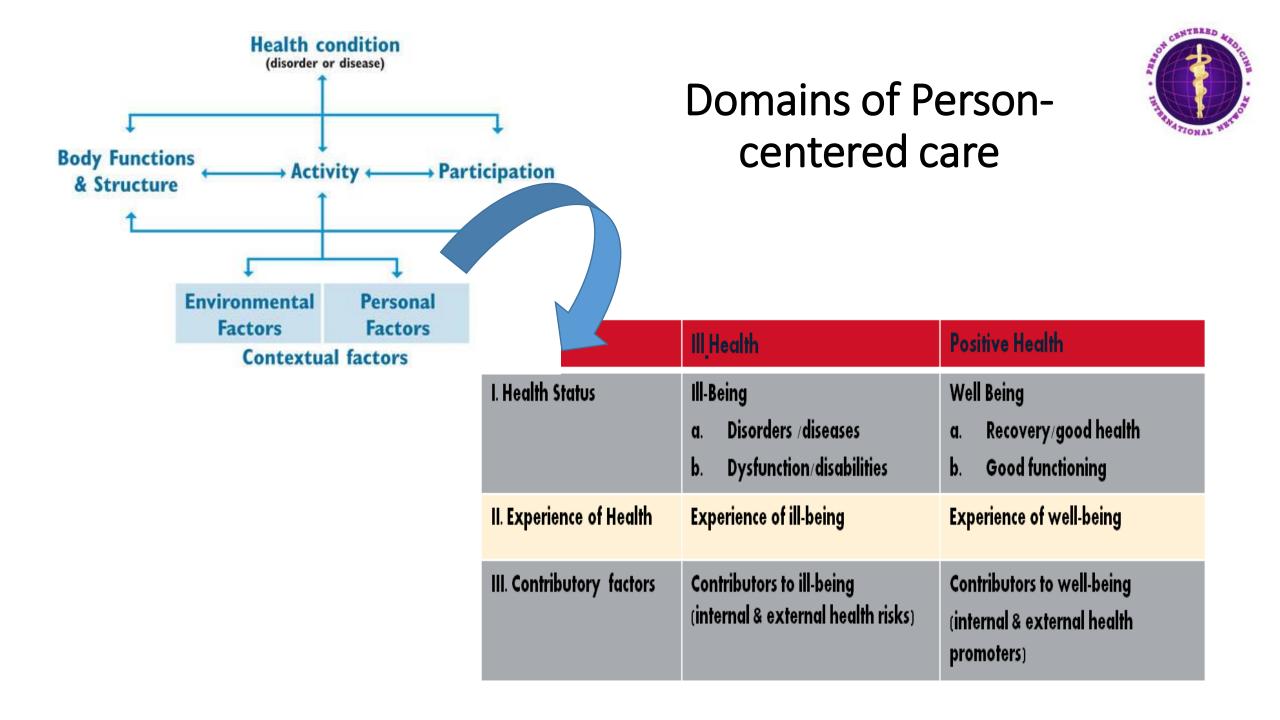
There is growing consensus on the need and opportunity to reduce the gap between the health and well-being of people in high-income countries and those in lower-income countries. Inequity in health and health services within and between countries is a crucial barrier to well-being around the world. Although global health equity is an ethical imperative independent of its economic implications, the increased economic productivity and social value that occur as a result of improved health in low-income countries makes the achievement of equity feasible as a result of increased self-sufficiency of people and of the synergies that emerge from global cooperation.

Effective global health care policy must be guided by the recognition of the intrinsic dignity of all persons, who deserve respect and support in their efforts to realize their own health, happiness, and capabilities. Consequently, individual well-being cannot be divorced from collective well-being. Person-centered care necessarily involves a commitment to the promotion of health for all people. Munual respect empowers people so that they have both the rights and the opportunities needed to flourish in a state of physical, mental, and social well-being.

Person-centered health focuses on the needs, values and perspectives of the whole person in their life context and course, rather than exclusively on their status as a patient, their medical condition or disease. People-centered health care emphasizes that persons live together with other people organized in families, communities and populations dispersed acound the world. The perspectives of person-centered and people-centered care are universal, equitable, and integrative.

Health Care for All must be integrated to be effective

Universal health and well-being require integration of health care planning and delivery that exist at several levels. Such integrated health care must be fully people- and person-centered in order to function in accord with the basic principle of intensic human dignity and related values of equity and justice, consistent with our previous declarations, and with the conventions approved by the UN. More specifically, PPCIC operates at six major levels that are interwined within a complex global system:



Declaration of Alma-Ata

International Conference on Primary Health Care, Alma-Ata, USSR, 6-12 September 1978

The International Conference on Primary Health Care, meeting in Alma-Ata this twelfth day of September in the year Nineteen hundred and seventy-eight, expressing the need for urgent action by all governments, all health and development workers, and the world community to protect and promote the health of all the people of the world, hereby makes the following

Declaration:

The Conference strongly reaffirms that health, which is a state of complete physical, mental and social wellbeing, and not merely the absence of disease or infirmity, is a fundamental human right and that the attainment of the highest possible level of health is a most important world-wide social goal whose realization requires the action of many other social and economic sectors in addition to the

п

The existing gross inequality in the health status of t developed and developing countries as well as withi economically unacceptable and is, therefore, of com

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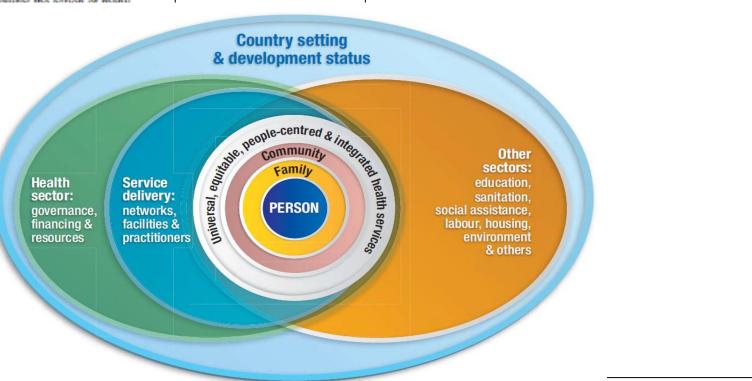
Economic and social development, based on a New basic importance to the fullest attainment of health f between the health status of the developing and deve protection of the health of the people is essential to a development and contributes to a better quality of lit have the right and duty to participate individually an implementation of their health care.

Governments have a responsibility for the health of only by the provision of adequate health and social 1 governments, international organizations and the wh decades should be the attainment by all peoples of the of health that will permit them to lead a socially and Primary health care is the key to attaining this target of social justice.

World Health Organization **WHO Interim Report**

Service Delivery and Safety

WHO global strategy on people-centred and integrated health services



WHO 2016

Framework on integrated people-centred health services: an overview

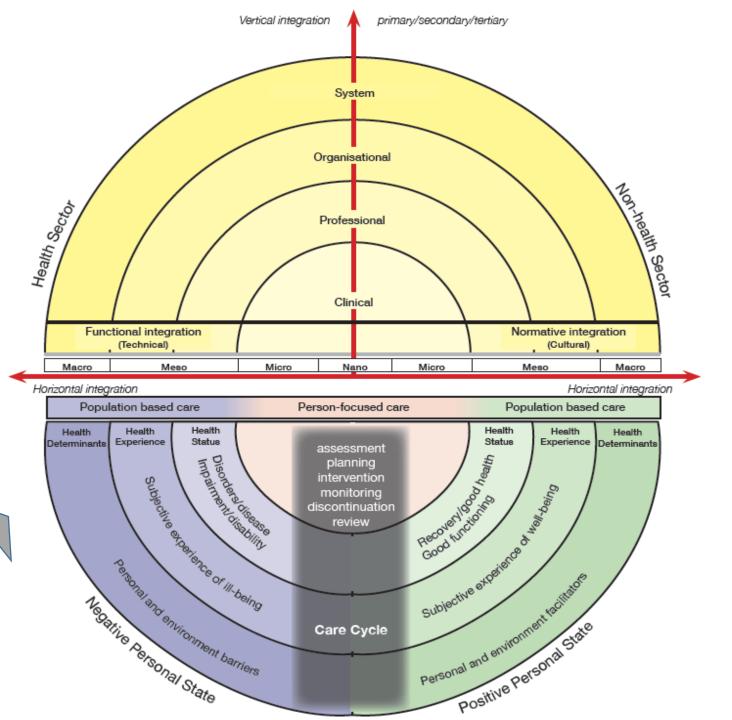
Vision

	"All people have equal access to quality health services that are co- produced in a way that meets their life course needs and respects social preferences, are coordinated across the continuum of care, and are comprehensive, safe, effective, timely, efficient and acceptable; and all carers are motivated, skilled and operate in a supportive environment"				
Strategy 1: Engaging and empowering people & communities	Strategy 2: Strengthening governance & accountability	Strategy 3: Reorienting the model of care	Strategy 4: Coordinating services within and across sectors	Strategy 5: Creating an enabling environment	
	St	rategic Approaches			
1.1 Engaging and empowering individuals and	2.1 Bolstering participatory governance 2.2 Enhancing mutual	3.1 Defining service priorities based on life-course needs, respecting social preference	 4.1 Coordinating care for individuals 4.2 Coordinating health 	5.1 Strengthening leadership and management for change	
families 1.2 Engaging and	accountability	3.2 Revaluing promotion, prevention and public health	programmes and	5.2 Strengthening information systems and knowledge	
empowering communities		3.3 Building strong primary care-based systems	4.3 Coordinating across sectors	5.3 Striving for quality improvement and safety	
1.3 Engaging and empowering informal carers		3.4 Shifting towards more outpatient and ambulatory care		5.4 Reorienting the health workforce	
1.4 Reaching the underserved &		3.5 Innovating and incorporating new technologies	g	5.5 Aligning regulatory frameworks	
marginalized				5.6 Improving funding and reforming payment	

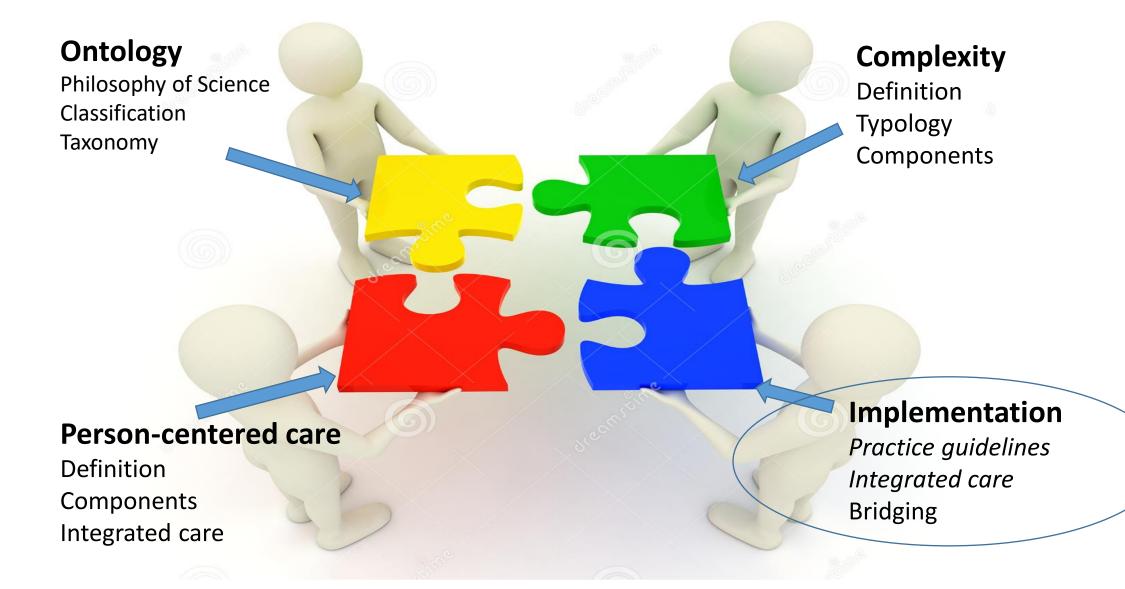
systems

Expanded model of Person and People Centred Integrated Care (PPCIC)

Levels	III_Health	Positive Health
l. Health Status	III-Being a. Disorders /diseases b. Dysfunction/disabilities	Well Being a. Recovery/good health b. Good functioning
II. Experience of Health	Experience of ill-being	Experience of well-being
III. Contributory factors	Contributors to ill-being (internal & external health risks)	Contributors to well-being (internal & external health promoters)



DISCERNEMENT AND COMPLEX HEALTH CARE





2009 BARCELONA DECLARATION Bridging knowledge in Long Term Care and Support

• Political stand to make bridging and knowledge transfer key components of any program in the fields of disability and aging



2012 TORONTO DECLARATION Bridging knowledge, practice and policy in Disabilities and ageing

INTERNATIONAL JOURNAL OF INTEGRATED CARE www.ijic.org



PERSON-CENTERED HEALTH CARE

-Umbrella term that encompasses models, research and activities in person-centered medicine, person and people-centered-care as well as planning. PCHC is a holistic, multidisciplinary and relational field

BRIDGING & KNOWLEDGE TRANSFER

- Bridging and Knowledge Transfer (B&KT) is a new 'meta-science' covering an array of different sectors (health, social care, education, employment), disciplines (health and social sciences), approaches (care and support models) and stakeholders (providers, users, researchers and policy makers), that require integration in order to generate progress in relational fields, such as PCHC.

Bridging

- Objective Improve efficiency, equity of care, inclusion and support at all levels, from the person to the society
- Conceptual Frame: Recognising the complexity of the human condition from birth to death, the capabilities of all people, and the need for a conceptual vision that takes into consideration when planning a society where participation of all citizens is the ultimate goal.



BRIDGING B&KT AND PPIHC



- Building effective bridges requires **interdisciplinary collaboration** and engagement with national and international decision-makers
- Connecting the fields will require development of a clear frameworks/models of bridging and PCH
- Bridging requires developing a **common terminology** and semantic interoperability across the related knowledge base.
- IMPROVING COOPERATION & PARTNERSHIP IN COLLABORATIVE CARE: PCH, B&KT, CCM, Integrated Care. Health systems-complexity

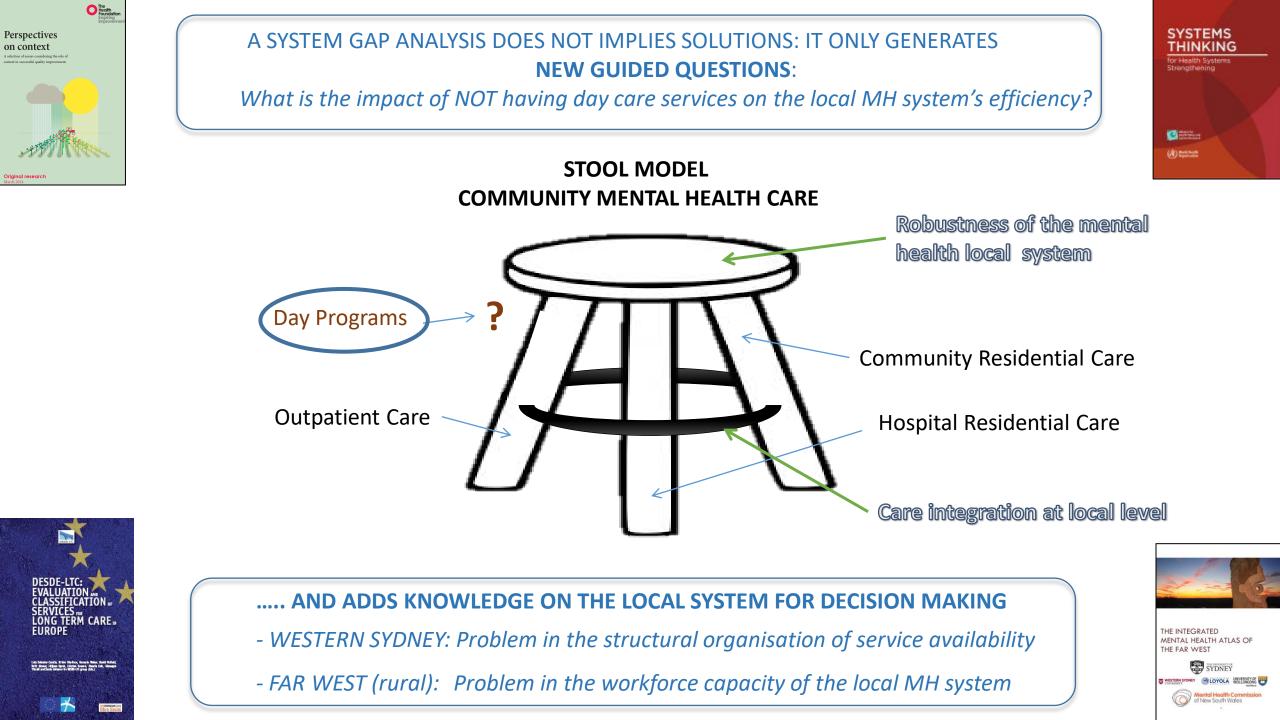
DISCERNEMENT AND COMPLEXITY IN HEALTH CARE



DISCERNEMENT AND COMPLEXITY IN HEALTH CARE



Bridging Intnl. Conference 2009: AWARD to Prof DIEGO GRACIA (Health Ethics as a basic translational filed and main tool of KT)





Michael Marmott President World Medical Association Director Institute of Health Equity Academia Implementation Policy and Practice



Arthur C Evans Commissioner of Philadelphia's Department of Behavioral Health & ID (DBHIDS)

SYSTEMS THINKING vs LINEAR HEALTH CARE PLANNING: MIND THE GAP!





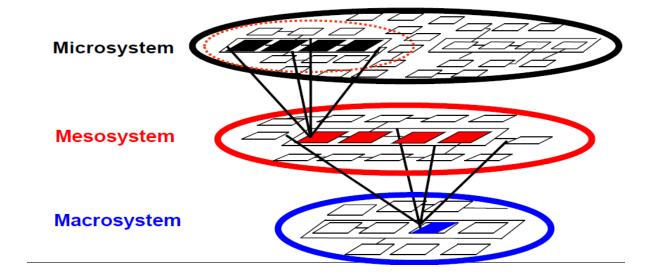
• Context refers to the totality of circumstances that comprise the milieu of a given phenomenon

 In health care it includes all sources of evidence of the local system: geography, social and demographic factors, other environmental factors, service availability, capacity, use and costs. It also includes legislation and expertise on the milieu (e.g., the historical account current state of the art)

Functional teams (EU) = Clinical micro-systems (US)

-Micro-system in health care delivery: a small group of people who work together on a regular basis to provide care to discrete subpopulations of patients

-CMS have clinical and business aims, linked processes, shared information environment and produces performance outcomes



- CMS evolve over time and are (often) embedded in in larger organizations



Exploring Innovation and Quality Improvement in Health Care Micro-Systems: A Cross-Case Analysis

A Technical Report for the Institute of Medicine Committee on the Quality of Health Care in America by Molla S. Donaldson, Dr.P.H., M.S., and Julie J. Mohr, Ph.D., M.S.P.H.

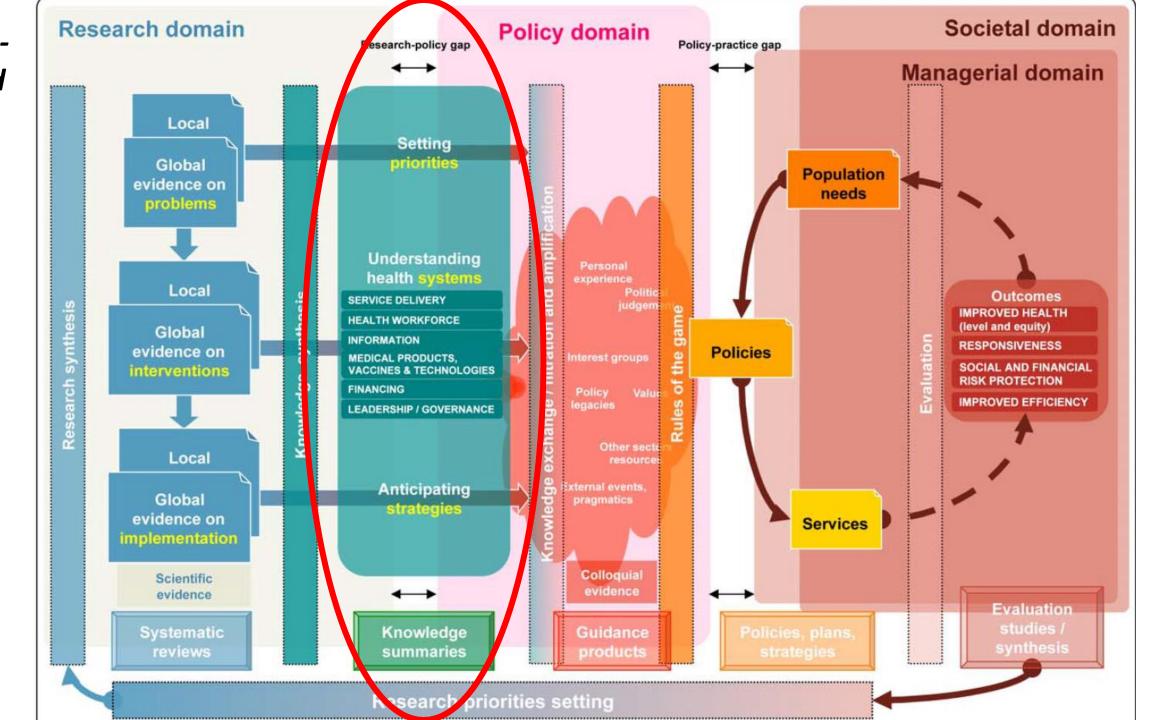
ISBN: 0-309-54155-7, 100 pages, 8 1/2 x 11, (2001)

This free PDF was downloaded from: http://www.nap.edu/catalog/10096.html

> Ref:Quality by Design Bojestig,Henriks

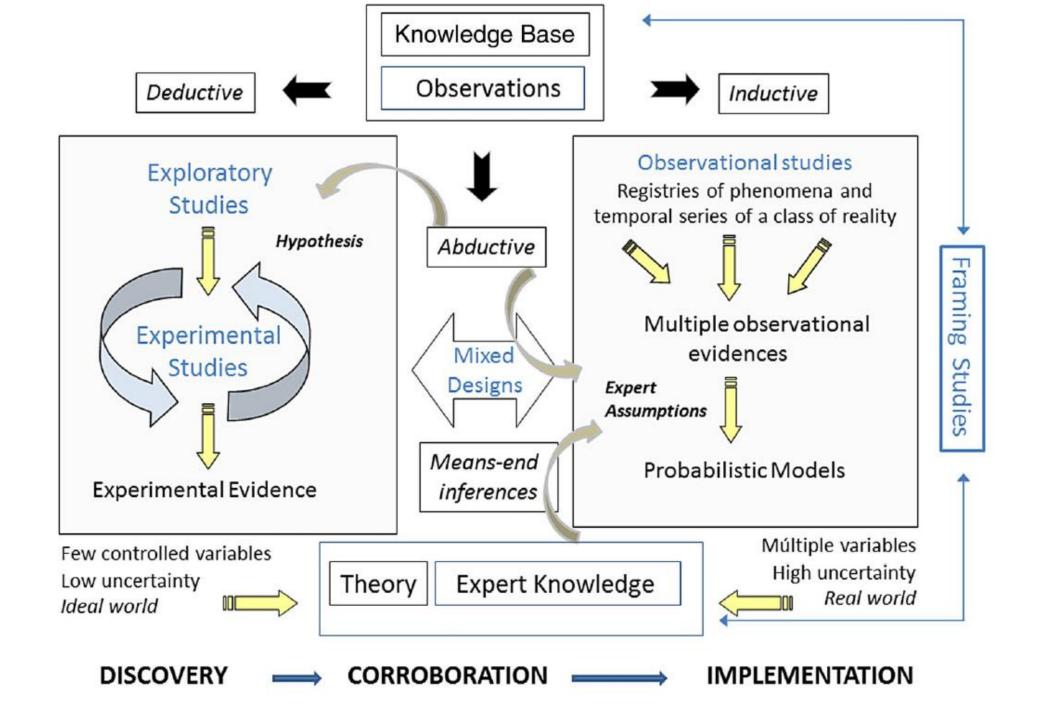
Evidence-Informed Policies about health systems

Bosch-Capblanc et al. PLOS Med 9 (3), 2012



The Four Leadership Questions

- Do you know how good you are?
- Do you know where you stand relative to the best?
- Do you know where the variation exists?
- Do you know the rate of improvement over time?



SCIENTIFIC KNOWLEDGE

EXPERT

- A fluid mix of contextualized information (evidence), know-how and experience (expert knowledge) that allows for a better understanding and prediction of natural, psychological and social phenomena. It is acquired by means of standardized methods of research following the principles of commensurability, transparency for corroboration and transferability to broader contexts.
- Scientific knowledge should fulfil five basic assumptions: minimal realism, fallibilism, objectivity, intersubjectivity and logical clarity. It provides a framework for incorporating new information and experiences and for generating new research questions, hypotheses and theories.
- **EVIDENCE** The part of scientific knowledge based on contextualized information from facts and data, and which is analysed using quantitative approaches alone or combined with qualitative methods to generate inferences using mainly deductive reasoning, but also and non-deductive logical reasoning (induction and abduction).
- A set of formalized know-how, understanding, experience and insight in a defined area of knowledge, which is informed, contextualized, stable, **KNOWLEDGE** consistent and connected. It is elicited using qualitative approaches alone or combined with quantitative methods to generate means-end inferences and non-inferential knowledge to complement evidence.

Arthur C Evans Commissioner of Philadelphia's Department of Behavioral Health & ID (DBHIDS)







Michael Marmott President World Medical Association Director Institute of Health Equity

POPULATION HEALTH:

-Adoption of the complexity and systems thinking approach

- The shift from EBM to Evidence informed policy: context and environmental
- factors, prior expert knowledge and experiential knowledge
- WHO Strategy: People-centred integrated care with a focus on EQUITY and EFFICIENCY (waste reduction)

HEALTH CARE SYSTEMS IN CRISIS (major challenges for MH)

-Increasing costs, market inefficiencies, impact of IT, payment systems not tested, lack of relevant information for evidence-informed planning

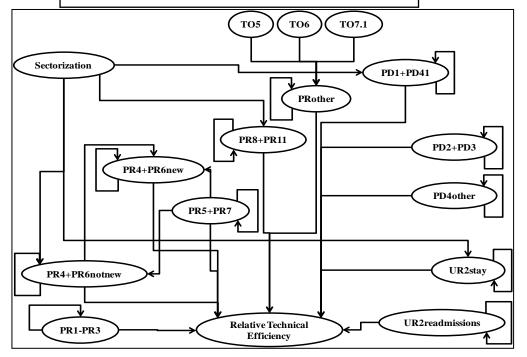
- New payment mechanisms to replace ABF and fee-for-service: bundle payments, population based payment (capitation)
- New organisational approaches: Patient medical homes, accountable care organisations, recovery
- -new alliances private/public, health/social
- -Mew models of care

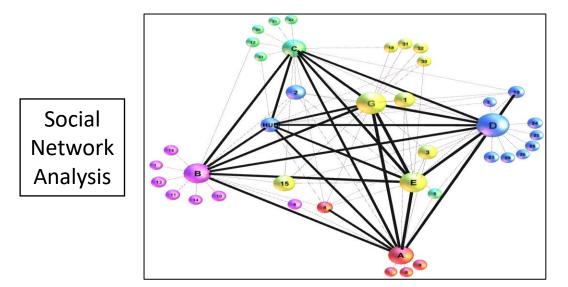
NEW DEMANDS : IMPLEMENTATION RESEARCH & GUIDELINE DEVELOPMENT

-Managerial epidemiology, Impact analysis, Context analysis, Spatial analysis

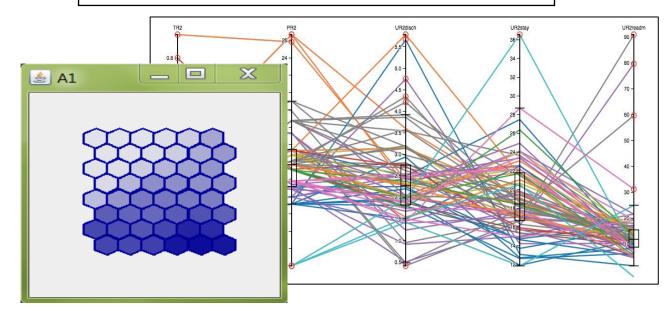
 Move from classical EBM/Qualitative reearch: Research in local areas, big data analysis, cross design synthesis, modelling and Knowledge Discovery from Data
 Collaborative research: multidisciplinary teams with extensive partnership with public health agencies, providers, stakeholders and health care companies

Modeling Community MH Care





New visualisation tools for analysis of KPIs



Relative Efficiency & Benchmarking

